

CURRICULUM VITAE

STEPHEN F. DIAMOND
Associate Professor of Law

Santa Clara University School of Law
Santa Clara, California 95053
(408) 554-4813
sdiamond@scu.edu

Education

Yale Law School

J.D., 1994
Symposium Editor, *Yale Law Journal*

University of London (Birkbeck College)

Ph.D., M.Phil., Political Science, 1991
Dissertation: Class and Power in Revolutionary Nicaragua
Committee: Huw Beynon, Sami Zubaida, and Arthur Lipow (*ex officio*)
SSRC/MacArthur Foundation Fellowship in International Peace and Security
Overseas Research Student Award

University of California at Berkeley

B.A., Development Studies, 1977
Phi Beta Kappa

Academic Appointments

Santa Clara University School of Law

Associate Professor of Law (Tenure), 2005-Present
Assistant Professor of Law, 1999-2005

Cornell Law School

Assistant Professor of Law, 2003-2004 (one year visit)

Markkula Center for Applied Ethics, Santa Clara University

Ethics Scholar, 2009-2013

Center for Latin American Studies, Stanford University

Visiting Scholar, 1996-1997

Center for International Affairs, Harvard University

MacArthur Fellow, 1989-1990

Graduate School of International Relations and Pacific Studies, U.C. San Diego

MacArthur Fellow, 1990-1991

Center for U.S.-Mexican Studies, U.C. San Diego

MacArthur Fellow/Visiting Scholar, 1990-1991/1993

Teaching Experience

Business Organizations

Securities Regulation

Corporate Finance

Advanced Corporations

International Trade

International Finance

Seminar on Comparative Corporate Law

Seminar on International Labor Rights

Seminar on Globalization and the Rule of Law

Executive MBA Program, Santa Clara University School of Business

Books

1. *Hidden History of the Equal Rights Amendment* (co-author with Hal Draper) Center for Socialist History (2014).
2. *Rights and Revolution: The Rise and Decline of Nicaragua's Sandinista Movement*. Vandeplass (2013).
3. *From "Che" to China: Labor and Authoritarianism in the New Global Economy*. Vandeplass (2009).
4. *Human Rights, Labor Rights and International Trade: Law and Policy Perspectives*, (co-editor with Lance A. Compa). University of Pennsylvania Press, 1996 (cloth), 2003 (paperback).

Book Chapters

5. The Facebook Effect: Insider Trading and Secondary Markets in the Startup Environment, In *Research Handbook on Insider Trading*, Stephen Bainbridge, ed., Elgar, 2013.
6. Beyond the Berle-Means Paradigm: Private equity and the new capitalist order, In *The Embedded Firm*, Cynthia Williams and Peer Zumbansen, eds.

Cambridge University Press, 2011.

7. Ringing the Bell on the NYSE: Might a Nonprofit Stock Exchange have been efficient? In *Global Stock Exchanges: The Dawn of A New Era*, Arindam Banerjee, ed., ICFAI University Press, India, 2008.
8. Beyond the Core: Re-Thinking the International Labor Rights Strategy after the Battle of Seattle. In *Trade and Agriculture: Negotiating a New Agreement*, Joe McMahon, ed., Cameron May, London 2001.
9. Labor Rights in the Global Economy: A Case Study of the North American Free Trade Agreement. In *Human Rights, Labor Rights and International Trade*, Lance A. Compa and Stephen F. Diamond, eds., Univ. of Penn. Press, 1996, 2003.
10. Introduction. (with Lance A. Compa) In *Human Rights, Labor Rights and International Trade*, Lance A. Compa and Stephen F. Diamond, eds., Univ. of Penn. Press, 1996, 2003.
11. U.S. Labor and North American Economic Integration: Towards a Constructive Critique. In *The Political Economy of North American Free Trade*, edited by Ricardo Grinspun and Maxwell Cameron. St. Martin's Press, 1993.

Articles

12. Facebook's Failed IPO: A Fall Worthy of Icarus. *Dissent Magazine* (Online), May 29, 2012.
13. Occupy Santa Clara! Corporate Personhood Reconsidered. *Dissent Magazine* (Online), April 4, 2012.
14. The Facebook Effect: Secondary Markets and Insider Trading in Today's Hot Startup Environment. *California Business Law Practitioner*, Winter 2012, Vol. 27, No. 1.
15. The Importance of Institutional Design: Evidence from the New York Stock Exchange IPO and Reg. NMS, *Securities Law eJournal*, Vol. 4, No. 7, Feb. 3, 2012.
16. The Importance of Institutional Design: Evidence from the New York Stock Exchange IPO and Reg. NMS, *Corporate and Takeover eJournal*, Vol. 4, No. 6, Feb. 2, 2012.

17. The Importance of Institutional Design: Evidence from the New York Stock Exchange IPO and Reg. NMS, *Market Microstructure eJournal*, Vol. 4, No. 7, Jan. 26, 2012.
18. Ringing the Bell on the NYSE: Might a Nonprofit Stock Exchange have been efficient? *Duquesne Business L. J.*, Spring 2007 (co-author: Jennifer W. Kuan).
19. Avoiding Debacle in the U.S. Auto Industry. *Dissent Magazine* (Online), Spring 2006.
20. The Delphi “Bankruptcy,” *Dissent Magazine*, Spring 2006.
21. The PetroChina Syndrome: Regulating Capital Markets in the Anti-Globalization Era. *The J. of Corporation Law*, 2003.
22. The “Race to the Bottom” Returns: China’s Challenge to the International Labor Movement. *U.C. Davis J. of Int’l L. and Policy*, 2003.
23. Bridging the Divide: An Alternative Approach to International Labor Rights after the Battle of Seattle. *Pepperdine L. Rev.*, 2002.
24. No friends in labor’s court, *The Nation*. Dec. 14, 1985.
25. Plant Closings and Worker Health: A Nationwide Epidemic, Labor and Occupational Health Program *Monitor*, Volume II, #2, January-February, 1983, University of California, Berkeley.
26. Political and Occupation Hazards to Reproductive Health, *New Labor Review*, #4, Fall, 1982.

Invited Papers and Presentations

27. Manufacturing “Orderliness” on the New York Stock Exchange, International Society for New Institutional Economics, Duke University, June 2014 (with Jennifer Kuan)
28. Manufacturing “Orderliness” on the New York Stock Exchange, Society for the Advancement of Socio-Economics, Northwestern/University of Chicago, July 2014 (with Jennifer Kuan)
29. Manufacturing “Orderliness” on the New York Stock Exchange, Institutions and Innovations Conference, Harvard Business School, November 2013 (with Jennifer Kuan).

30. Starting up a business: What do I need to know about the Law, Intel Corporation and SCU School of Business, October 2013.
31. The Surprising Consequences of Competition Between the NYSE and the Nasdaq, Department of Economics, Stanford, October 2013 (with Jennifer Kuan).
32. Did Exchange Competition Destabilize the Stock Market? Industry Studies Conference, March 2013 (with Jennifer Kuan).
33. Did Exchange Competition Destabilize the Stock Market? Stanford Department of Economics, March 2013 (with Jennifer Kuan).
34. Navigating the Global Capital Markets, SCU Leavey School of Business, 2013.
35. Navigating the Global Capital Markets, SCU Leavey School of Business, 2012.
36. The Facebook Effect: Secondary Markets and Insider Trading in Today's Startup Environment, Santa Clara University School of Law, February 21, 2012.
37. Destabilizing "Competition": The Case of the New York Stock Exchange, Stanford University, November 28, 2011.
38. Institutional Design and Market Efficiency: Evidence from the NYSE IPO, International Society for New Institutional Economics, Stanford University, June 16-18, 2011.
39. Can Institutional Economics Inform the Efficient Market Hypothesis?, European Money and Finance Forum, Universitat Pompeu Fabra, Barcelona, Spain, December 10, 2010, with Jennifer Kuan.
40. Institutional Heterogeneity Among Stock Exchanges, Conference on Empirical Legal Studies, Yale Law School, November 5-6, 2010, with Jennifer Kuan.
41. Institutional Heterogeneity Among Stock Exchanges, Tulane Energy Institute, Tulane University School of Business, October 28, 2010, with Jennifer Kuan.
42. A Tale of Two Stock Exchanges: Why Governance and Institutional Design Matters, International Atlantic Economic Society, October 12, 2010, with Jennifer Kuan.

43. Institutional Heterogeneity Among Stock Exchanges, Faculty Workshop, SCU School of Law, August 30, 2010, with Jennifer Kuan.
44. Institutional Heterogeneity Among Stock Exchanges: Implications for Market Efficiency, Stanford Law School, June 11, 2010, with Jennifer Kuan.
45. Can Institutional Economics Inform the Efficient Market Hypothesis? Industry Studies Conference, University of Illinois, Chicago, May 7, 2010, with Jennifer Kuan.
46. A Tale of Two Exchanges: Why Institutional Design Matters, New School University Conference on U.S. Corporations in the Recovery and Beyond, April 22, 2010, with Jennifer Kuan.
47. Reconsidering Market Efficiency: A Tale of Two Exchanges, Institutions and Innovation Conference, Harvard Business School, 2009 with Jennifer Kuan.
48. Reconsidering the IPO of the New York Stock Exchange, IOFest, Haas School of Business, U.C. Berkeley, 2009 with Jennifer Kuan
49. Rethinking Private Equity and “Financialization,” Society for Advancement of Socio-Economics, SciencesPo, Paris, France, 2009.
50. Using Hostages to Improve the Quality of Financial Disclosure and Financial Institutions, International Society for New Institutional Economics, Haas School of Business, U.C. Berkeley, 2009 with Jennifer Kuan.
51. Using Hostages to Improve the Quality of Financial Disclosure and Financial Institutions, Sloan Industry Studies Conference, Chicago, 2009 with Jennifer Kuan.
52. Private Equity and Public Good, Capital Matters: Managing Labor’s Capital, Harvard Law School, 2008.
53. Beyond the Berle-Means Paradigm: Private Equity and the new capitalist order, Yale School of Management, 2008.
54. Beyond the Berle-Means Paradigm: Private Equity and the new capitalist order, Osgoode Hall School of Law, York University, Toronto, 2008.
55. Exercising the Governance Option: Labor and Legitimacy in the Modern Corporate System, Global Conference on Business Ethics – The Accountable Corporation, Santa Clara University, 2005.

56. The “Race to the Bottom” Returns: China’s Challenge to the International Labor Movement, Symposium on Workers in the Global Economy: The Role of International Economic Institutions, U.C. Davis King Hall School of Law, 2003.
57. Exercising the Governance Option: Labor and Legitimacy in the Modern Corporate System, Panel on Labor Rights, Corporations, and Capitalism, Feminism and Legal Theory Conference, Cornell Law School and University of Wisconsin School of Law, 2003.
58. The PetroChina Syndrome: Regulating Capital Markets in the Anti-Globalization Era, Feminism and Legal Theory Workshop on the Corporation and Citizenship, Osgoode Hall School of Law (York), Cornell Law School and University of British Columbia Law School, 2002.
59. Coercion and Labor in the Global Economy: A Law and Sociology Analysis. Midwestern Sociology Association, 2002.
60. The PetroChina Syndrome: Regulating Capital Markets in the Anti-Globalization Era, Boalt Hall, U.C. Berkeley, 2001.
61. The PetroChina Syndrome: Regulating Capital Markets in the Anti-Globalization Era, Santa Clara University School of Law, 2001.
62. Beyond the Core: A Re-assessment of the International Labor Rights Strategy after the Battle of Seattle, International Law Association, Pepperdine Law School, 2001.
63. NAFTA, Labor and the Mexican Peso Crisis, Seminar on Law and International Relations (convened by Anne Marie Slaughter and Joe Weiler), Harvard Law School, 1995.
64. The Impact of the Global Economy on Labor Rights: A Case Study of NAFTA, School of Business and Department of Sociology, University of Wisconsin at Madison, 1994.
65. European Legal Scholarship and the Search for a Third Way: Revisiting the Work of Franz Neumann, Yale Law School, Seminar on European Legal Thought, 1994.
66. Exploring the Big Bank and E.C. Capital Market Reform: Alternative Approaches, Yale Law School, Seminar on Financial Market Regulation, 1993.

67. Law, Labor, and Legitimacy: Economic Restructuring in Poland and Mexico, Center for U.S. Mexican Studies, U.C. San Diego, 1993.
68. The Rule of Law in the East European Transition: Some Comparative Lessons, SSRC/MacArthur Foundation Research Workshop, Central European University, Prague, Czech Republic, 1992.
69. American Labor and North American Economic Integration, Conference on Critical Perspectives on North American Economic Integration, York University, 1991.
70. Democratic Institutions and Development in the Transition of a New International Order: The Role of Trade Unions, SSRC/MacArthur Foundation Research Workshop, Graduate School of International Relations and Pacific Studies, U.C. San Diego, 1991.
71. Third World Security in the Post Cold War Era: The Role of Trade Unions, Andrew Mellon Conference on Conceptual Approaches to the Third World, Center for Iberian and Latin American Studies, U.C. San Diego, 1991.
72. Labor in Revolutionary Nicaragua: One Class, One Union? Center for International Affairs, Seminar on Latin America, Harvard University, 1990.
73. The Rise and Decline of Neo-Stalinism: Comparative Lessons from the Nicaraguan Experience, SSRC/MacArthur Fellows Conference, Budapest, Hungary, 1990.
74. Trade Unionism and Democracy: A Research Proposal, SSRC/MacArthur Fellows Conference, Morelos, Mexico, 1990.
75. Revolution and Labor in Central America: Three Roads for the Trade Union Movement, Annual Meeting of the Southwest Labor Studies Association, San Francisco, California, 1989.
76. An Assessment of Nicaragua's Sandinista Revolution, Department of Chicano Studies, Graduate Seminar on Central America, U.C. Berkeley, 1989.
77. The Impact of Industrialization in the Third World, Birkbeck College, University of London, Seminar on Third World Development, 1987.
78. The Trade Unions in Sandinista Nicaragua, Department of Economics, U.C. Berkeley, 1984.

Other Papers and Presentations

79. Panelist, Discussion of *Kiobel v. Royal Dutch Shell*, Santa Clara University, 2012.
80. Distinguished Participant, Conference on Theory of the Firm, Adolph A. Berle Center, Seattle University School of Law, 2012.
81. Regulating Global Capital Markets, Executive MBA Program, Santa Clara University School of Business, 2010.
82. Executive Compensation in the Wake of the AIG Scandal, Markkula Center for Applied Ethics, Santa Clara University, 2009.
83. Regulating Global Capital Markets, Executive MBA Program, Santa Clara University School of Business, 2009.
84. Labor Unrest and Digitalization in Hollywood, U.C. Berkeley School of Law, 2008.
85. Anatomy of the Financial Meltdown, Santa Clara University School of Law, 2008.
86. Will the Real Che Guevara Please Stand Up? Labor and Authoritarianism in Sandinista Nicaragua, 2008.
87. Regulating Global Capital Markets, Executive MBA Program, Santa Clara University School of Business, 2008.
88. International Labor Rights, LLM Seminar, Santa Clara University School of Law, 2008.
89. The NYSE IPO, Santa Clara University School of Law, 2007, with Jennifer W. Kuan.
90. Regulating Global Capital Markets, Executive MBA Program, Santa Clara University School of Business, 2007.
91. The VEBA Brief: Union democracy in an age of economic restructuring, 2007.
92. The Perfect Storm: Facing the challenge to America's social welfare structure after the Safeway strike, *Governing Work and Welfare in the EU and US: Past, Present and Future*, Cornell, Florence and Catania law schools, Erice, Sicily, 2004.

93. There is no free lunch: Why America's corporate managers should do the right thing and endorse the FASB proposal to expense options, Testimony on the impact of stock option expensing on small business, Committee on Small Business and Entrepreneurship, U.S. Senate, Washington, D.C., 2004.
94. Testing Convergence Theory: A case study of China's new corporate economy, Cornell Law School, 2004.
95. The PetroChina Syndrome: Regulating Capital Markets in the Anti-Globalization Era, NELLCO Working Paper Series, Cornell Law School, 2003.
96. The "Race to the Bottom" Returns: China's Challenge to the International Labor Movement, NELLCO Working Paper Series, Cornell Law School, 2003.
97. The Emerging Market for Corporate Control in Europe – Case Study of the Vodafone-Mannesmann Merger, Executive MBA Program, Santa Clara University School of Business, 2003.
98. The "Race to the Bottom" Returns: China's Challenge to the International Labor Movement. Santa Clara University School of Law, 2003.
99. The PetroChina Syndrome: Regulating Capital Markets in the Anti-Globalization Era, Working Paper Series, Social Science Research Network, 2003.
100. The Emerging Market for Corporate Control in Europe – Case Study of the Vodafone-Mannesmann Merger, Executive MBA Program, Santa Clara University School of Business, 2002.
101. The Emerging Market for Corporate Control in Europe: A Case Study of the Vodafone-Mannesmann Merger, Executive MBA Program, Santa Clara University School of Business, 2001.
102. Alternative Capital Market Strategies for Pension Fund Trustees, AFL-CIO International Pension Fund Trustee Conference, San Francisco, California, 2001.
103. Using Corporate Law to Advance the International Human Rights Agenda, Grillo Public Interest Retreat, Santa Cruz, California, Santa Clara University and University of San Francisco Schools of Law, 2001.
104. Implementation of CalPERS Policy on Investments in Emerging Market Countries, Office of Investment of the AFL-CIO, 2000.

105. The Initial Public Offering of PetroChina: Rethinking Traditional Investment Criteria in the Context of Human Rights Concerns, Office of Investment, AFL-CIO, 2000.
106. The WTO After the Battle of Seattle, Santa Clara University School of Law, 2000.
107. The Impact of Trade and Technology on American Job Loss, Ford Foundation Conference on International Labor Rights, 2000.
108. The Emerging Market for Corporate Control in Europe, Executive MBA Program, Santa Clara University School of Business, 2000.
109. The Impact of Electronic Communications Networks on U.S. Capital Markets, Presentation to DMT Media, Inc., 2000.
110. Pension Funds and the Global Capital Markets: An Alternative Investment Strategy, Santa Clara University School of Law, 1999.
111. Organized Labor's View of Free Trade with Mexico, World Affairs Council of San Diego, 1991.

Book Reviews

112. *Human Rights and Transnational Solidarity in Cold War Latin America* ed. by Jessica Stites Mor, University of Wisconsin Press 2013 in *Human Rights Quarterly*, Vol. 36, No. 3, August 2014.
113. *Science Mart: Privatizing American Science* by Philip Mirowski, Harvard University Press, 2012 in *Contemporary Sociology*, Vol. 41, 2, March, 2012.
114. *The Future of Finance: How Private Equity and Venture Capital Will Shape the Global Economy* by Dan Schwartz, Amazon.com
115. *Revolution, Revival and Religious Conflict in Sandinista Nicaragua* by Calvin Smith, *Bulletin of Latin American Research*, Vol. 29, Issue 4, October, 2010.
116. The "New Realism" and the Crisis of the Human Rights Movement. Review of *In Our Own Best Interest: How Defending Human Rights Benefits Us All* by William F. Schulz, 42 *Santa Clara L. Rev.* 1019 (2002).
117. *The Chinese Market: An Enigma Unraveled* by Joe Studwell, *Dissent*,

Summer, 2002.

118. Labor's War. Review of *Labor's War at Home: The CIO in World War II* by Nelson Lichtenstein, *Monthly Review*, May 1984.

Research Notes

119. "New Boss Same as the Old Boss": A Look at the GM/UAW VEBA Post-IPO, Nov. 19, 2010.
120. Ford and the UAW Enter the Derivatives Market, 2009.
121. Legal Implications of Proposed GM/UAW VEBA, 2007.
122. Proposed GM/UAW VEBA: A House of Cards? 2007.
123. VEBA v. VEBA: Chrysler UAW Workers Face More Risk, 2007.

Op-Eds

124. New leadership could make AFL-CIO relevant again (titles varied) 2009.
- a. *Sacramento Bee*
 - b. *Pittsburgh Post Gazette*
 - c. *Buffalo News*
 - d. *Pittsburgh Tribune-Review*
 - e. *Bellingham Herald*
 - f. *Providence Journal-Bulletin*
 - g. *Tuscaloosa News*
125. Enron toppled by politics, law, *The Times-Union*, Albany, New York, Feb. 3, 2002.
126. Terrorism Must Be Fought Through International Law, *The Record*, Bergen County, New Jersey, Oct. 4, 2001.

Published Letters

127. Fragile Pakistan a sacrifice to IMF?, Letter to the editor, *Fin. Times*, Jan. 8, 2011.
128. GM workers' healthcare fund is less secure than it seems, Letter to the editor, *Fin. Times*, Oct. 2, 2007.
129. Citizen investors deserve protection, Letter to the editor, *Fin. Times*, Mar.

26, 2007.

130. Healthy reminder of risks of stock options, Letter to the editor, *Fin. Times*, Oct. 10, 2006.
131. Everyone knew a hard rain was going to fall on Delphi, Letter to the editor, *Fin. Times*, Oct. 18, 2005.
132. SEC's first duty should be to investors, Letter to the editor, *Fin. Times*, Jun. 8, 2005.
133. When Culture and Emerging Capitalism Clash, Letter to the editor, *Wall St. J.*, Apr. 20, 2005.
134. A gaping hole in China's labour laws, Letter to the editor, *Fin. Times*, Sept. 22, 2003.
135. Chinese workers need to feel secure, Letter to the editor, *Fin. Times*, Jan. 29, 2003.
136. A Dark Day for U.S. Workers Is Dawning at Toyota-G.M. Plant, Letter to the editor, *The New York Times*, Aug. 8, 1985.

Other Publications

137. Hey, the Boss Just Called Me Into the Office...The Weingarten Decision and the Right to Representation on the Job. Institute of Industrial Relations, U.C. Berkeley, 1986, 1999, 2011.
138. How to Cost a Union Contract. Co-authored with Paul Chown and Theresa Ghilarducci. Institute of Industrial Relations, U.C. Berkeley, 1986.
139. Can They Just Fire Me? Public Employees Right to Due Process. Institute of Industrial Relations, U.C. Berkeley, 1986.
140. *Plea Bargaining: Structure and Process* (contributing author). U.C. Berkeley, 1975.

Media

Interviewed regularly by wide range of media on issues related to corporate governance, financial markets, labor relations and Silicon Valley. Interviews include: Nightly Business Report, NPR, *Financial Times*, CBS Market Watch, CNBC, *Wall Street Journal*, *Bloomberg*, *New York Times*, *Time*, *Forbes*, *Los Angeles Times*, *Business Week*, *San Jose Mercury News*, *San Francisco*

Chronicle, San Diego Union Tribune, ABC TV, Investor's Business Daily, U.S. News and World Report, Hollywood Reporter, The Wrap.com, Backstage, Washington Post, KTVU, KGO-TV, KLIV Radio, KCBS Radio, Traffic World, Pacific Shipper, Journal of Commerce, Detroit Free Press, Associated Press, Inside Fashion, Real News Network, Corporate Counsel, San Jose Business Journal.

Grants, Awards, and Fellowships

- Summer Research Grants, Santa Clara University School of Law, 2000-2002, 2004
- Summer Research Grant, Cornell Law School, 2003
- Workshop Grant, SSRC/MacArthur Foundation Program in International Peace and Security, 1991
- SSRC/MacArthur Foundation Fellowship in International Peace and Security, 1989-1991
- Overseas Research Student Award, University of London, 1988
- Junior Fellow, Carnegie Endowment for International Peace, 1976

Law School and University Service

- Academic Affairs Committee
- High Tech Law Institute Advisory Board
- Ethics Scholar, Markkula Center for Applied Ethics
- Visiting Lecturer, SCU Leavey School of Business
- Disabilities Review Panel
- International Programs Advisory Committee
- Faculty Affairs Committee
- LARAW Appointments Committee
- Faculty Secretary
- Joint JD/MBA Program Advisor
- Technology Steering Committee
- Faculty Advisor, Start-up Law Clinic
- Faculty Advisor, Start-up Law Students Association
- Member, Advisory Board, American Association of University Professors

Academic Service

- Organizer, Social Justice Workshop, Law and Labor in the Fields, 2014
- Tenure Committee for Professor Beth Van Schaack (tenure awarded)
- Mentor Committee for Professors David Yosifon and Colleen Chien
- Tenure letter for Sociology Professor, Iowa State University (tenure awarded)
- Tenure letter for Law Professor, New York Law School

- Tenure letter for Law Professor, New York Law School (tenure awarded)
- Chair, Shadow Tenure and Promotion Committee of Senior Faculty Candidate, SCU
- Moot court judge, Vale Corporate Law competition.
- Moot court judge, Jessup Moot court competition
- Outside referee, *Law and Social Inquiry*, *Asian Politics & Policy*, *Bulletin of Latin American Research*, *Journal of Management and Governance*, *Santa Clara University Journal of International Law*, *Routledge Law*, *Aspen Publishers*, *Utrecht Journal of International and European Law*
- Organized and hosted visit of Lori Wallach, Global Trade Watch, to SCU
- Faculty Organizing Committee, International Labor Studies Program, Stanford Law School
- Discussant, Panel on International Labor Rights, Southwest Labor Studies Association, California State University at Pomona, 2008
- Chair, Panel on Corporate Governance Reform: What Difference Has It Made Or Could It Make for Workers? With Vice Chancellor Leo Strine, Capital Matters: Managing Labor's Capital, Harvard Law School, 2008
- Organizer and Host, Visit of Jan Kavan, Former President of United Nations, Santa Clara University School of Law, 2007
- Organizer and Panel Chair, "Beyond a Boundary": Technology's Challenge to Legal Thinking, Santa Clara University, 2003
- Chair, Panel on New developments in Broadband, Broadband Institute, Santa Clara University School of Law, 2002
- Chair, Panel on Death Penalty in Comparative Perspective, Symposium, *Santa Clara Law Review*, 2002
- Chair of Free Trade Debate between Douglas Irwin and Lori Wallach, Institute on Globalization, Santa Clara University, 2002
- Organizer (with Professor William B. Gould IV and Professor Robert Flanagan), Conference on International Labor Standards, Stanford Law School, 2002
- Chair, Panel on labor standards and the global economy, Conference on International Labor Standards, Stanford Law School, June, 2002
- Chair, Panel on codes of conduct, Conference on International Labor Standards, Stanford Law School, 2002
- Chair, Panel on Crime and Tort on the Internet, *High Tech Law Journal* Symposium on Internet Privacy, Santa Clara University School of Law, 2001
- Moderated presentation by Don Bradley, General Counsel, Wilson Sonsini Goodrich & Rosati, Ethics Week, Santa Clara University, 2001
- Organized and moderated presentation by John Heileman, author of *Pride Before the Fall: The Trials of Bill Gates and the End of the Microsoft Era*, Commonwealth Club of Silicon Valley, Palo Alto, California, 2001
- Chair, Panel on New Developments in International Law, National ILSA Conference, Santa Clara University, 2000

- Chair, Panel on Crime and Tort on the Internet, High Tech Law Journal on Symposium on Internet Privacy, 2000
- Invited and chaired presentation by Robert Major, Principal, Major, Hagen and Africa, to Law School faculty, 2000
- Invited and chaired presentation of Eamonn Fingleton, author of *In Praise of Hard Industries*, Center for Science, Technology and Society, Santa Clara University, 1999
- Organizer (with an editorial committee): The Informal Economy. A symposium sponsored by the *Yale Law Journal*, 1994
- Organizer (with Lance A. Compa), Human Rights and Labor Rights: A New Look at Workers in the Global Economy, Orville H. Schell Center for International Human Rights, Yale Law School, 1992
- Organizer and Chair, Democratic Institutions and Development in the Transition to a New International Order: The Role of Trade Unions, research workshop sponsored by the MacArthur Foundation Program in International Peace and Security under the auspices of the Social Science Research Council. U.C. San Diego, Graduate School of International Relations and Pacific Studies, 1991
- Organizer, Revolution and Labor in Central America, Panel, Annual meeting of the Southwest Labor Studies Association, San Francisco, California, 1989

Community Service

- Expert testimony in federal and state court proceedings
- Testimony on expensing stock options, Committee on Small Business and Entrepreneurship, United States Senate
- Advisor, CNH Co. Ltd.
- Member of Board of Directors, OPTi, Inc. (2003-2011)
- Advisor, Office of Investment, AFL-CIO
- Advisor, CtW Investment Group, Change to Win

Professional Appointments

Advisor, CNH Co. Ltd., Menlo Park, CA and Seoul, Korea, 2006-present
 Member, Board of Directors, OPTi, Inc., Palo Alto, California, 2003-2011
 Interim General Counsel, nComputing, Redwood City, California, 2007
 Associate, Wilson Sonsini Goodrich & Rosati, Palo Alto, California, 1995-1999
 Associate, Latham & Watkins, New York, New York, 1994-1995
 Assistant Director, Harvard Trade Union Program, Harvard University, 1989-1990
 Director of Public Affairs, International Brotherhood of Electrical Workers, Local 1245, Walnut Creek, California, 1986-1987, 1987-1989
 Program Representative, Center for Labor Research and Education, U.C. Berkeley, Berkeley, California, 1980-1986

Selected Transactional Experience

Mergers and Acquisitions

- Micron Technology's acquisition of global DRAM operations of Texas Instruments.
- Standard Microsystems Corporation's sale of Enterprise Networks business unit to Cabletron Systems, Inc.
- OPTi, Inc.'s sale of NetMedia business unit to Creative Technology, Ltd.
- State of the Art, Inc.'s cash tender offer by The Sage Group plc.
- Novell's sale of its WordPerfect division to Corel.
- StrataCom's acquisition by Cisco Systems.
- Meier Mitchell & Co., LLC sale to GATX
- Vodafone acquisition of Mannesmann

Financings

- Cypress Semiconductor's secondary offering of 7.6 million shares of common stock by Warburg Dillon Read.
- Atmel's \$150 million note offering led by Credit Suisse First Boston.
- eMachines' private placement by America Online and Technology Crossover Ventures.
- eMachines' IPO led by Credit Suisse First Boston.
- Goldman, Sachs & Co.'s \$500 million Medium Term Note Offering of Albertson's.
- IPO of PetroChina
- Privatization of Chunghwa Telecom

Other

- Negotiated semiconductor foundry agreement for OPTi, Inc.
- Negotiated employment agreements with CEO and CFO, OPTi Inc.
- Advised George Perlegos, founder of Atmel, on contested proxy campaign
- Regularly advise entrepreneurs on start-up company issues
- Advised investors on governance issues at HP, JPMorganChase, Motorola and Lockheed
- Advised members of board of directors, Screen Actors Guild

Personal

Admitted in New York (1997) and California (1997)

Proficient in Spanish and German

Dual citizen of European Union and United States

Enjoy running, erg, tennis, squash, golf and yoga

Member, AAUP, AEA, SASE
Married to Minh T. Ngo, one child